

Article

## On Solutions of Holonomic Divided-Difference Equations on Nonuniform Lattices

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**Abstract:** The main aim of this paper is the development of suitable bases which enable the direct series representation of orthogonal polynomial systems on nonuniform lattices (quadratic lattices of a discrete or a  $q$ -discrete variable). We present two bases of this type, the first of which allows to write solutions of arbitrary divided-difference equations in terms of series representations extending results given in [16] for the  $q$ -case. Furthermore it enables the representation of the Stieltjes function which can be used to prove the equivalence between the Pearson equation for a given linear functional and the Riccati equation for the formal Stieltjes function.

If the Askey-Wilson polynomials are written in terms of this basis, however, the coefficients turn out to be not  $q$ -hypergeometric. Therefore, we present a second basis, which shares several relevant properties with the first one. This basis enables to generate the defining representation of the Askey-Wilson polynomials directly from their divided-difference equation. For this purpose the divided-difference equation must be rewritten in terms of suitable divided-difference operators developed in [5], see also [6].

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18 **1. Introduction**

Classical orthogonal polynomials on a nonuniform lattice satisfy an equation of the type [2,6,17]

$$\left\{ \phi(x(s)) \frac{\Delta}{\nabla x_1(s)} \frac{\nabla}{\nabla x(s)} + \frac{\psi(x(s))}{2} \left[ \frac{\Delta}{\Delta x(s)} + \frac{\nabla}{\nabla x(s)} \right] + \lambda_n \right\} P_n(x(s)) = 0, \quad n \geq 0, \quad (1)$$

where  $\phi$  and  $\psi$  are polynomials of maximal degree two and one respectively,  $\lambda_n$  is a constant depending on the integer  $n$  and the leading coefficients  $\phi_2$  and  $\psi_1$  of  $\phi$  and  $\psi$ :

$$\lambda_n = -\gamma_n (\phi_2 \gamma_{n-1} + \psi_1 \alpha_n), \quad (2)$$

and  $x(s)$  is a nonuniform lattice defined by

$$x(s) = \begin{cases} c_1 q^s + c_2 q^{-s} + c_3 & \text{if } q \neq 1 \\ c_4 s^2 + c_5 s + c_6 & \text{if } q = 1. \end{cases} \quad (3)$$

Here,  $\Delta$  and  $\nabla$  are the forward and the backward operators

$$\Delta f(x(s)) := \Delta f(s) = f(s+1) - f(s), \quad \nabla f(x(s)) := \Delta f(s) = f(s) - f(s-1),$$

and

$$x_\mu(s) = x\left(s + \frac{\mu}{2}\right), \quad \mu \in \mathbb{C},$$

19 where  $\mathbb{C}$  is the set of complex numbers. The lattices (3) satisfy

$$x(s+k) - x(s) = \gamma_k \nabla x_{k+1}(s), \quad (4)$$

$$\frac{x(s+k) + x(s)}{2} = \alpha_k x_k(s) + \beta_k, \quad (5)$$

for  $k = 0, 1, \dots$ , with

$$\alpha_0 = 1, \alpha_1 = \alpha, \beta_0 = 0, \beta_1 = \beta, \gamma_0 = 0, \gamma_1 = 1,$$

20 where the sequences  $(\alpha_k)$ ,  $(\beta_k)$ ,  $(\gamma_k)$  satisfy the following relations

$$\alpha_{k+1} - 2\alpha\alpha_k + \alpha_{k-1} = 0,$$

$$\beta_{k+1} - 2\beta_k + \beta_{k-1} = 2\beta\alpha_k,$$

$$\gamma_{k+1} - \gamma_{k-1} = 2\alpha_k,$$

21 and are given explicitly by [2,17]

$$\alpha_n = 1, \beta_n = \beta n^2, \gamma_n = n, \text{ for } \alpha = 1, \quad (6)$$

and

$$\alpha_n = \frac{q^{\frac{n}{2}} + q^{-\frac{n}{2}}}{2}, \beta_n = \frac{\beta(1 - \alpha_n)}{1 - \alpha}, \gamma_n = \frac{q^{\frac{n}{2}} - q^{-\frac{n}{2}}}{q^{\frac{1}{2}} - q^{-\frac{1}{2}}}, \text{ for } \alpha = \frac{q^{\frac{1}{2}} + q^{-\frac{1}{2}}}{2}. \quad (7)$$

By means of the companion operators  $\mathbb{D}_x$  and  $\mathbb{S}_x$  [5,6], Equation (1) can be rewritten as

$$\phi(x(s)) \mathbb{D}_x^2 P_n(x(s)) + \psi(x(s)) \mathbb{S}_x \mathbb{D}_x P_n(x(s)) + \lambda_n P_n(x(s)) = 0, \quad (8)$$

where

$$\mathbb{D}_x f(x(s)) = \frac{f(x_{-1}(s+1)) - f(x_{-1}(s))}{x_{-1}(s+1) - x_{-1}(s)}, \quad \mathbb{S}_x f(x(s)) = \frac{f(x_{-1}(s+1)) + f(x_{-1}(s))}{2}.$$

22 These operators fulfil important relations—called product and quotient rules—which read, taking  
23 into account the shift (compared to the definition in [6]) in the definition of the above defined companion  
24 operators as

25 **Theorem 1.** [6]

26 1. The operators  $\mathbb{D}_x$  and  $\mathbb{S}_x$  satisfy the product rules I

$$\mathbb{D}_x (f(x(s))g(x(s))) = \mathbb{S}_x f(x(s)) \mathbb{D}_x g(x(s)) + \mathbb{D}_x f(x(s)) \mathbb{S}_x g(x(s)), \quad (9)$$

$$\mathbb{S}_x (f(x(s))g(x(s))) = U_2(x_1(s)) \mathbb{D}_x f(x(s)) \mathbb{D}_x g(x(s)) + \mathbb{S}_x f(x(s)) \mathbb{S}_x g(x(s)), \quad (10)$$

where  $U_2$  is a polynomial of degree 2

$$U_2(x(s)) = (\alpha^2 - 1)x^2(s) + 2\beta(\alpha + 1)x(s) + \delta_x,$$

and  $\delta_x$  is a constant depending on  $\alpha$ ,  $\beta$  and the initial values  $x(0)$  and  $x(1)$  of  $x(s)$ :

$$\delta_x = \frac{x^2(0) + x^2(1)}{4\alpha^2} - \frac{(2\alpha^2 - 1)}{2\alpha^2}x(0)x(1) - \frac{\beta(\alpha + 1)}{\alpha^2}(x(0) + x(1)) + \frac{\beta^2(\alpha + 1)^2}{\alpha^2}. \quad (11)$$

27 2. The operators  $\mathbb{D}_x$  and  $\mathbb{S}_x$  also satisfy the quotient rules

$$\mathbb{D}_x \left( \frac{f(x(s))}{g(x(s))} \right) = \frac{\mathbb{S}_x f(x(s)) \mathbb{D}_x g(x(s)) - \mathbb{D}_x f(x(s)) \mathbb{S}_x g(x(s))}{U_2(x(s)) [\mathbb{D}_x g(x(s))]^2 - [\mathbb{S}_x g(x(s))]^2};$$

$$\mathbb{S}_x \left( \frac{f(x(s))}{g(x(s))} \right) = \frac{U_2(x(s)) \mathbb{D}_x f(x(s)) \mathbb{D}_x g(x(s)) - \mathbb{S}_x f(x(s)) \mathbb{S}_x g(x(s))}{U_2(x(s)) [\mathbb{D}_x g(x(s))]^2 - [\mathbb{S}_x g(x(s))]^2},$$

28 provided that  $g(x(s)) \neq 0$ ,  $s \in (a, b)$ .

3. More generally, relations (9)-(10) remain valid if we replace  $x$  and  $x_1$  by  $x_\mu$  and  $x_{\mu+1}$  respectively,  $\mu \in \mathbb{C}$ . In particular, the constant  $\delta_x$  remains unchanged if we replace  $x$  in (11) by  $x_k$ ,  $k \in \mathbb{Z}$ , i.e.,

$$\delta_{x_k} = \delta_x := \delta, \quad k \in \mathbb{Z},$$

29 where  $\mathbb{Z}$  is the set of integers.

30 4. The operators  $\mathbb{D}_x$  and  $\mathbb{S}_x$  also satisfy the product rules II

$$\mathbb{D}_x \mathbb{S}_x = \alpha \mathbb{S}_x \mathbb{D}_x + U_1(s) \mathbb{D}_x^2; \quad (12)$$

$$\mathbb{S}_x^2 = U_1(s) \mathbb{S}_x \mathbb{D}_x + \alpha U_2(s) \mathbb{D}_x^2 + \mathbb{I}, \quad (13)$$

where

$$U_1(s) := U_1(x(s)) = (\alpha^2 - 1)x(s) + \beta(\alpha + 1), \quad U_2(s) := U_2(x(s)) \quad (14)$$

For illustration, the Askey-Wilson polynomials  $P_n(x; a, b, c, d|q)$  are defined by

$$P_n(x; a, b, c, d|q) = {}_4\phi_3 \left( \begin{matrix} q^{-n}, abcdq^{n-1}, ae^{i\theta}, ae^{-i\theta} \\ ab, ac, ad \end{matrix} \middle| q; q \right), \quad x = \cos \theta. \quad (15)$$

31 By taking  $e^{i\theta} = q^s$ , the lattice reads as  $x(s) = \cos \theta = \frac{q^s + q^{-s}}{2}$ . By using the orthogonality relation and  
32 the Pearson-type equation satisfied by the weight of the Askey-Wilson polynomials, Foupouagnigni [6]  
33 showed that the polynomials  $P_n(x; a, b, c, d|q)$  satisfy a divided-difference equation of the type (8) with

$$\begin{aligned} \phi(x(s)) &= 2(dcba + 1)x^2(s) - (a + b + c + d + abc + abd + acd + bcd)x(s) \\ &\quad + ab + ac + ad + bc + bd + cd - abcd - 1, \\ \psi(x(s)) &= \frac{4(abcd - 1)q^{\frac{1}{2}}x(s)}{q - 1} + \frac{2(a + b + c + d - abc - abd - acd - bcd)q^{\frac{1}{2}}}{q - 1}. \end{aligned} \quad (16)$$

34  
35 It should be recalled that the operators  $\mathbb{D}_x$  and  $\mathbb{S}_x$  transform polynomials of degree  $n$  in  $x(s)$  into a  
36 polynomial of degree  $n - 1$  and  $n$  in the same variable, respectively. However, the application of these  
37 operators to the monomial  $x^n(s)$  produces a linear combination (with complicated coefficients) of all  
38 monomials of degree less than or equal to  $n - 1$  and  $n$  respectively; this makes the monomial basis  
39  $(x^n(s))_n$  not appropriate for the aforementioned operators [6].

40 The aim of this work is:

- ① To provide an appropriate basis for the companion operators, that is, a basis  $(F_n(x(s)))_n$  such that each  $F_n(x(s))$  is a polynomial of degree  $n$  in  $x(s)$  fulfilling

$$\mathbb{D}_x F_n(x(s)) = a_n F_{n-1}(x(s)), \quad \mathbb{S}_x F_n(x(s)) = b_n F_n(x(s)) + c_n F_{n-1}(x(s)),$$

41 where  $a_n$ ,  $b_n$  and  $c_n$  are given constants.

- 42 ② To provide an algorithmic method to solve Equation (8) as series in terms of the new basis  
43 and to extend this result to solve arbitrary linear divided-difference equations with polynomial  
44 coefficients involving only products of operators  $\mathbb{D}_x$  and  $\mathbb{S}_x$ .

- 45 ③ To use another appropriate basis for the operators  $\mathbb{D}_x^2$  and  $\mathbb{S}_x \mathbb{D}_x$  to derive representation (8) for  
46 the Askey-Wilson polynomials from the hypergeometric representation (15) without making use  
47 of the weight function.

- 48 ④ To solve explicitly an equation of type (8) and to extend this result to solve arbitrary linear divided-  
49 difference equations with polynomial coefficients involving only products of operators  $\mathbb{D}_x^2$  and  
50  $\mathbb{S}_x \mathbb{D}_x$ .

- 51 ⑤ To provide a new representation of the formal Stieltjes function of a given linear functional on  
52 a nonuniform lattice, and deduce from it various important properties connecting the functional  
53 approach and the one based on the Riccati equation for the formal Stieltjes function.

54 The content of this paper is organized as follows. In section 1, we recall necessary preliminaries, while  
 55 in the second section, we provide the basis  $(F_k)_k$  compatible with the companion operators  $\mathbb{D}_x$  and  $\mathbb{S}_x$ .  
 56 The third section deals with the algorithmic series solutions of divided-difference equations in terms  
 57 of the basis  $(F_k)_k$ . In section 4, we give the second basis  $(B_k)_k$  compatible not with the companion  
 58 operators but rather with their products  $\mathbb{D}_x^2$  and  $\mathbb{S}_x\mathbb{D}_x$ , and use this basis in the fifth section to find the  
 59 algorithmic series solutions of some divided difference equations in terms of the basis  $(B_k)_k$ . In the  
 60 last section, we apply the basis  $(F_k)_k$  to provide new representation of the formal Stieltjes series and  
 61 deduce its corresponding properties. Basic exponential and basic trigonometric functions have also been  
 62 expanded in terms of the basis  $(F_k)_k$ .

## 63 2. A New Basis Compatible with the Companion Operators

Using the generalized basis for the lattice  $x(s)$  defined by Suslov [17] (see also [2]) as

$$[x_m(z) - x_m(s)]^{(n)} = \prod_{j=0}^{n-1} [x_m(z) - x_m(s - j)], \quad n \geq 1, m \geq 0, [x_m(z) - x_m(s)]^{(0)} \equiv 1, \quad (17)$$

we define the function  $F_n$  by

$$F_n(x(s)) = (-1)^n [x(z_x) - x_{n-1}(s)]^{(n)}, \quad n \geq 1, F_0(x(s)) \equiv 1, \quad (18)$$

where  $z_x$ , which is a constant term with respect to  $z$  but depending on the lattice  $x$ , fulfils the relations

$$q^{2z_x} = \frac{c_2}{c_1} q^{\frac{-1}{2}} \quad (19)$$

for the  $q$ -quadratic lattice  $x(s) = c_1 q^s + c_2 q^{-s} + \frac{\beta}{1-\alpha}$ ,  $q \neq 1$ ,  $c_1 c_2 \neq 0$ , or the relation

$$\text{and } z_x = -\frac{1}{4} - \frac{c_5}{2c_4} \quad (20)$$

64 for the quadratic lattice  $x(s) = c_4 s^2 + c_5 s + c_6$ ,  $c_4 \neq 0$ ; and obtain the following properties:

### 65 Theorem 2.

$$\mathbb{D}_x F_n(x(s)) = \gamma_n F_{n-1}(x(s)); \quad (21)$$

$$\mathbb{S}_x F_n(x(s)) = \alpha_n F_n(x(s)) + \frac{\gamma_n}{2} \nabla x_{n+1}(z_x) F_{n-1}(x(s)); \quad (22)$$

$$\mathbb{D}_x \frac{1}{F_n(x(s))} = -\frac{\gamma_n}{F_{n+1}(x(s))}; \quad (23)$$

$$\mathbb{S}_x \frac{1}{F_n(x(s))} = \frac{\alpha_n}{F_n(x(s))} + \frac{\gamma_n}{2} \frac{\nabla x_{n+2}(z_x)}{F_{n+1}(x(s))}; \quad (24)$$

$$F_{n+1}(x(s)) = (x(s) - x_{n+1}(z_x)) F_n(x(s)) = \prod_{j=1}^{n+1} (x(s) - x_j(z_x)), \quad n \geq 0; \quad (25)$$

$$F_n(x_k(z_x)) \neq 0, \quad \forall n \geq 0, \forall k > n \geq 0; \quad (26)$$

$$\frac{F_n(x(s))}{F_1(x(s))} = F_{n-1}(x(s)) + \sum_{j=0}^{n-2} C_j F_j(x(s)), \quad \text{with } C_j = \prod_{i=j+2}^n (x(z_x) - x_i(z_x)). \quad (27)$$

66 In order to prove Theorem 2, we first prove the following propositions:

67 **Proposition 3.** *The following relations hold:*

$$[x_m(z) - x_m(s)]^{(n)} = \prod_{j=0}^{n-1} [x_{m-n+1}(z+j) - x_{m-n+1}(s)], \quad n, m \geq 0, \quad (28)$$

$$\mathcal{E}_1(i, k, z, s) = \mathcal{E}_2(i, k, z, s), \quad z \in \mathbb{C}, \quad i, k \in \mathbb{N}, \quad (29)$$

68 where  $\mathbb{N}$  is the set of nonnegative integer numbers, and

$$\mathcal{E}_1(i, k, z, s) = [x_{-i}(z+i) - x_{-i}(s)] [x_{-k+1}(z+i) - x_{-k+1}(s-1)],$$

$$\mathcal{E}_2(i, k, z, s) = [x_{-k}(z+i) - x_{-k}(s)] [x_{i+1}(z) - x_{i+1}(s)].$$

**Proposition 4.**

$$\mathbb{D}_x f_n(x_{n-1}(z), x_{n-1}(s)) = -\gamma_n f_{n-1}(x_{n-2}(z + \frac{1}{2}), x_{n-2}(s)) \quad (30)$$

$$\begin{aligned} \mathbb{S}_x f_n(x_{n-1}(z), x_{n-1}(s)) &= \alpha_n f_n \left( x_{n-1}(z + \frac{1}{2}), x_{n-1}(s) \right) \quad (31) \\ &\quad - \frac{\gamma_n}{2} \nabla x_{2n}(z) f_{n-1} \left( x_{n-2} \left( z + \frac{1}{2} \right), x_{n-2}(s) \right). \end{aligned}$$

69 *Proof:* (Proof of Proposition 3)

70 The proof of Relation (29) is obtained by direct computation, using the expression of the lattice given by  
71 (3).

72 For the proof of Relation (28), we define the function  $f_n$  and  $g_n$  as:

$$f_n(x_m(z), x_m(s)) := \prod_{j=0}^{n-1} [x_m(z) - x_m(s-j)], \quad n \geq 1, \quad m \geq 0, \quad f_0(x_m(z), x_m(s)) \equiv 1, \quad (32)$$

$$g_n(x_m(z), x_m(s)) = \prod_{j=0}^{n-1} [x_{m-n+1}(z+j) - x_{m-n+1}(s)], \quad n \geq 1, \quad m \geq 0, \quad g_0((x_m(z), x_m(s))) \equiv 1 \quad (33)$$

and prove by induction on  $n$  that  $f_n(x_m(z), x_m(s)) = g_n(x_m(z), x_m(s))$ ,  $\forall z \in \mathbb{C}$ , and for a fixed  $m \in \mathbb{N}$ .

For  $n = 1$ , we have

$$f_1(x_m(z), x_m(s)) = x_m(z) - x_m(s) = g_1(x_m(z), x_m(s)).$$

73 For  $n = 2$ , we have

$$f_2(x_m(z), x_m(s)) = (x_m(z) - x_m(s))(x_m(z) - x_m(s-1)) = \mathcal{E}_1(0, 1, z + \frac{m}{2}, s + \frac{m}{2}).$$

74 Use of Relation (29) and relation  $x_m(t) = x(t + \frac{m}{2})$ ,  $\forall t \in \mathbb{C}$ , transform the previous equation into

$$\begin{aligned} f_2(x_m(z), x_m(s)) &= \mathcal{E}_1(0, 1, z + \frac{m}{2}, s + \frac{m}{2}) \\ &= \mathcal{E}_2(0, 1, z + \frac{m}{2}, s + \frac{m}{2}) \\ &= (x_{m-1}(z) - x_{m-1}(s))(x_{m-1}(z+1) - x_{m-1}(s)) \\ &= g_2(x_m(z), x_m(s)). \end{aligned}$$

Now we assume the following induction's hypothesis for a fixed  $m \in \mathbb{N}$  and for a fixed  $n > 1$ :

$$f_k(x_m(z), x_m(s)) = g_k(x_m(z), x_m(s)), \quad \forall z \in \mathbb{C}, \quad \forall k \in \mathbb{N}, \quad \text{with } : 1 \leq k \leq n. \quad (34)$$

75 In the first step, we start from Relation (32) and use Relation (34), to obtain

$$\begin{aligned} f_{n+1}(x_m(z), x_m(s)) &= \prod_{j=0}^n (x_m(z) - x_m(s - j)) \\ &= (x_m(z) - x_m(s - n)) f_n(x_m(z), x_m(s)) \\ &= (x_m(z) - x_m(s - n)) g_n(x_m(z), x_m(s)). \end{aligned}$$

76 In the second step, we use the definition of  $g_n$  given by (33) to transform the previous equation into

$$\begin{aligned} f_{n+1}(x_m(z), x_m(s)) &= (x_m(z) - x_m(s - n)) g_n(x_m(z), x_m(s)) \\ &= (x_m(z) - x_m(s - n)) \prod_{j=0}^{n-1} (x_{m-n+1}(z + j) - x_{m-n+1}(s)) \\ &= (x_m(z) - x_m(s - n)) (x_{m-n+1}(z + n - 1) - x_{m-n+1}(s)) \\ &\quad \times \prod_{j=0}^{n-2} (x_{m-n+1}(z + j) - x_{m-n+1}(s)). \end{aligned}$$

77 In the third step, we first use the Relation  $x_{m-n+1}(t) = x_{m-n+2}(t - \frac{1}{2})$ , then Relation (33), to transform  
78 the previous equation into

$$\begin{aligned} f_{n+1}(x_m(z), x_m(s)) &= (x_m(z) - x_m(s - n)) (x_{m-n+1}(z + n - 1) - x_{m-n+1}(s)) \\ &\quad \times \prod_{j=0}^{n-2} (x_{m-n+2}(z - \frac{1}{2} + j) - x_{m-n+2}(s - \frac{1}{2})) \\ &= (x_m(z) - x_m(s - n)) (x_{m-n+1}(z + n - 1) - x_{m-n+1}(s)) \\ &\quad \times g_{n-1}(x_m(z - \frac{1}{2}), x_m(s - \frac{1}{2})). \end{aligned}$$

79 In the fourth step, we combine Equations (29), (34) and the relation  $x_\mu(z) = x(z + \frac{\mu}{2})$ ,  $\forall \mu, z \in \mathbb{C}$ , to  
80 obtain from the previous equation

$$\begin{aligned} f_{n+1}(x_m(z), x_m(s)) &= (x_m(z) - x_m(s - n)) (x_{m-n+1}(z + n - 1) - x_{m-n+1}(s)) \\ &\quad \times g_{n-1}(x_m(z - \frac{1}{2}), x_m(s - \frac{1}{2})) \\ &= \mathcal{E}_1(n - 1, 2n - 1, z + \frac{m}{2}, s + \frac{m}{2}) g_{n-1}(x_m(z - \frac{1}{2}), x_m(s - \frac{1}{2})) \\ &= \mathcal{E}_2(n - 1, 2n - 1, z + \frac{m}{2}, s + \frac{m}{2}) f_{n-1}(x_m(z - \frac{1}{2}), x_m(s - \frac{1}{2})) \\ &= (x_{m-2n+1}(z + n - 1) - x_{m-2n+1}(s)) (x_{m+n}(z) - x_{m-n}(s)) \\ &\quad \times f_{n-1}(x_m(z - \frac{1}{2}), x_m(s - \frac{1}{2})). \end{aligned}$$

81 Therefore, using again relation  $x_\mu(z) = x(z + \frac{\mu}{2})$ ,  $\forall \mu, z \in \mathbb{C}$ , and Relation (32), we have

$$\begin{aligned}
f_{n+1}(x_m(z), x_m(s)) &= (x_{m-1}(z) - x_{m-1}(s - n + 1))(x_{m-n}(z + n) - x_{m-n}(s)) \\
&\quad \times f_{n-1}(x_{m-1}(z), x_{m-1}(s)) \\
&= (x_{m-1}(z) - x_{m-1}(s - n + 1))(x_{m-n}(z + n) - x_{m-n}(s)) \\
&\quad \times \prod_{j=0}^{n-2} (x_{m-1}(z) - x_{m-1}(s - j)) \\
&= (x_{m-n}(z + n) - x_{m-n}(s)) \prod_{j=0}^{n-1} (x_{m-1}(z) - x_{m-1}(s - j)) \\
&= (x_{m-n}(z + n) - x_{m-n}(s)) f_n(x_{m-1}(z), x_{m-1}(s)) \\
&= (x_{m-n}(z + n) - x_{m-n}(s)) f_n(x_m(z - \frac{1}{2}), x_m(s - \frac{1}{2})).
\end{aligned}$$

82 In the last step, we use the previous equation and the induction's hypothesis (34) combined with (33)  
83 to obtain

$$\begin{aligned}
f_{n+1}(x_m(z), x_m(s)) &= (x_{m-n}(z + n) - x_{m-n}(s)) f_n(x_m(z - \frac{1}{2}), x_m(s - \frac{1}{2})) \\
&= (x_{m-n}(z + n) - x_{m-n}(s)) g_n(x_m(z - \frac{1}{2}), x_m(s - \frac{1}{2})) \\
&= (x_{m-n}(z + n) - x_{m-n}(s)) \prod_{j=0}^{n-1} (x_{m-n+1}(z - \frac{1}{2} + j) - x_{m-n+1}(s - \frac{1}{2})) \\
&= (x_{m-n}(z + n) - x_{m-n}(s)) \prod_{j=0}^{n-1} (x_{m-n}(z + j) - x_{m-n}(s)) \\
&= \prod_{j=0}^n (x_{m-n}(z + j) - x_{m-n}(s)) \\
&= g_{n+1}(x_m(z), x_m(s)).
\end{aligned}$$

84

□

#### 85 **Proof of Proposition 4**

86 *Proof:* We will now use the results of Proposition 3 to prove Proposition 32. In order to prove relation  
87 (30), we proceed in the following steps.

88 In step 1, we apply the operator  $\mathbb{D}_x$  on both sides of relation (32) and multiply both sides of the  
89 equation obtained by  $\Delta x_{-1}(s)$  to get

$$\begin{aligned}
\Delta x_{-1}(s) \times \mathbb{D}_x f_n(x_{n-1}(z), x_{n-1}(s)) & \tag{35} \\
&= \prod_{j=0}^{n-1} (x_{n-1}(z) - x_{n-1}(s - j + \frac{1}{2})) - \prod_{j=0}^{n-1} (x_{n-1}(z) - x_{n-1}(s - j - \frac{1}{2})).
\end{aligned}$$

In the second step, we first use the following relation, obtained by shifting the index  $j$

$$\prod_{j=0}^{n-1} (x_{n-1}(z) - x_{n-1}(s - j + \frac{1}{2})) = (x_{n-1}(z) - x_{n-1}(s + \frac{1}{2})) \prod_{j=0}^{n-2} (x_{n-1}(z) - x_{n-1}(s - j - \frac{1}{2})), \tag{36}$$



then, the relation obtained by using Relation (4) and  $x_\mu(z) = x(z + \frac{\mu}{2})$

$$x_{n-1}(s + \frac{1}{2}) - x_{n-1}(s - n + \frac{1}{2}) = \gamma_n \nabla x_{2n}(s - n + \frac{1}{2}) = \gamma_n \nabla x_1(s) = \gamma_n \Delta x_{-1}(s),$$

90 to transform (35) into

$$\begin{aligned} \Delta x_{-1}(s) \mathbb{D}_x f_n(x_{n-1}(z), x_{n-1}(s)) &= -[x_{n-1}(s + \frac{1}{2}) - x_{n-1}(s - n + \frac{1}{2})] \prod_{j=0}^{n-2} (x_{n-1}(z) - x_{n-1}(s - j - \frac{1}{2})) \\ &= -\gamma_n \Delta x_{-1}(s) \prod_{j=0}^{n-2} (x_{n-1}(z) - x_{n-1}(s - j - \frac{1}{2})) \\ &= -\gamma_n \Delta x_{-1}(s) \prod_{j=0}^{n-2} (x_{n-2}(z + \frac{1}{2}) - x_{n-2}(s - j)) \\ &= -\gamma_n \Delta x_{-1}(s) f_{n-1}(x_{n-2}(z + \frac{1}{2}), x_{n-2}(s)). \end{aligned}$$

91 The proof of relation (31), will also be done in the following steps:

92 In the first step, we apply operator  $\mathbb{S}_x$  on both sides of relation (32) and use Relation (36) to obtain

$$\begin{aligned} \mathbb{S}_x f_n(x_{n-1}(z), x_{n-1}(s)) &= \frac{1}{2} \prod_{j=0}^{n-1} (x_{n-1}(z) - x_{n-1}(s - j + \frac{1}{2})) + \frac{1}{2} \prod_{j=0}^{n-1} (x_{n-1}(z) - x_{n-1}(s - j - \frac{1}{2})) \\ &= \frac{1}{2} [x_{n-1}(z) - x_{n-1}(s + \frac{1}{2})] \prod_{j=0}^{n-2} (x_{n-1}(z) - x_{n-1}(s - j - \frac{1}{2})) \\ &\quad + \frac{1}{2} [x_{n-1}(z) - x_{n-1}(s - n + \frac{1}{2})] \prod_{j=0}^{n-2} (x_{n-1}(z) - x_{n-1}(s - j - \frac{1}{2})) \\ &= \frac{1}{2} [2x_{n-1}(z) - x_{n-1}(s - n + \frac{1}{2}) - x_{n-1}(s + \frac{1}{2})] \prod_{j=0}^{n-2} (x_{n-1}(z) - x_{n-1}(s - j - \frac{1}{2})). \end{aligned}$$

In the second step, we use the relation

$$x_{n-1}(s - n + \frac{1}{2}) + x_{n-1}(s + \frac{1}{2}) = x_n(s - n) + x_n(s) = 2\alpha_n x_{2n}(s - n) + 2\beta_n = 2\alpha_n x(s) + 2\beta_n$$

93 obtained from (5) and the relation  $x_\mu(z) = x_\mu(z + \frac{\mu}{2})$ , to get

$$\begin{aligned} \mathbb{S}_x f_n(x_{n-1}(z), x_{n-1}(s)) &= [x_{n-1}(z) - \alpha_n x(s) - \beta_n] \prod_{j=0}^{n-2} (x_{n-1}(z) - x_{n-1}(s - j - \frac{1}{2})) \\ &= [x_{n-1}(z) - \alpha_n x(s) - \beta_n] f_{n-1}(x_{n-1}(z), x_{n-1}(s - \frac{1}{2})). \end{aligned}$$

94 In the third step, we use Relation (28) to transform the previous equation into

$$\begin{aligned}
\mathbb{S}_x f_n(x_{n-1}(z), x_{n-1}(s)) &= [x_{n-1}(z) - \alpha_n x(s) - \beta_n] f_{n-1}(x_{n-1}(z), x_{n-1}(s - \frac{1}{2})) \\
&= [x_{n-1}(z) - \alpha_n x(s) - \beta_n] g_{n-1}(x_{n-1}(z), x_{n-1}(s - \frac{1}{2})) \\
&= [x_{n-1}(z) - \alpha_n x(s) - \beta_n] \prod_{j=0}^{n-2} (x_1(z + j) - x_1(s - \frac{1}{2})) \\
&= \left[ \alpha_n [x(z + \frac{1}{2} + n - 1) - x(s)] + x_{n-1}(z) - \alpha_n x(z + \frac{1}{2} + n - 1) - \beta_n \right] \\
&\quad \times \prod_{j=0}^{n-2} (x(z + \frac{1}{2} + j) - x(s)) \\
&= \alpha_n \prod_{j=0}^{n-1} (x(z + \frac{1}{2} + j) - x(s)) \\
&\quad + \left[ x_{n-1}(z) - \alpha_n x(z - \frac{1}{2} + n) - \beta_n \right] \prod_{j=0}^{n-2} (x(z + \frac{1}{2} + j) - x(s)) \\
&= \alpha_n g_n(x_{n-1}(z + \frac{1}{2}), x_{n-1}(s)) \\
&\quad + \left[ x_{n-1}(z) - \alpha_n x(z - \frac{1}{2} + n) - \beta_n \right] g_{n-1}(x_{n-2}(z + \frac{1}{2}), x_{n-2}(s)).
\end{aligned}$$

95 Therefore, using again Relation (28) we get

$$\begin{aligned}
\mathbb{S}_x f_n(x_{n-1}(z), x_{n-1}(s)) &= \alpha_n f_n(x_{n-1}(z + \frac{1}{2}), x_{n-1}(s)) \\
&\quad + \left[ x_{n-1}(z) - \alpha_n x_n(z - \frac{1}{2} + \frac{n}{2}) - \beta_n \right] f_{n-1}(x_{n-2}(z + \frac{1}{2}), x_{n-2}(s)).
\end{aligned} \tag{37}$$

In the fourth step, we use the following relation obtained from (5)

$$x(z - \frac{1}{2} + \frac{n}{2}) + x(z - \frac{1}{2} + \frac{n}{2} + n) = 2\alpha_n x_n(z - \frac{1}{2} + \frac{n}{2}) + 2\beta_n$$

96 to transform (37) as

$$\begin{aligned}
\mathbb{S}_x f_n(x_{n-1}(z), x_{n-1}(s)) &= \alpha_n f_n(x_{n-1}(z + \frac{1}{2}), x_{n-1}(s)) \\
&\quad + \left[ x_{n-1}(z) - \frac{x_n(z - \frac{1}{2}) + x_n(z - \frac{1}{2} + n)}{2} \right] f_{n-1}(x_{n-2}(z + \frac{1}{2}), x_{n-2}(s)) \\
&= \alpha_n f_n(x_{n-1}(z + \frac{1}{2}), x_{n-1}(s)) \\
&\quad + \left[ \frac{x_n(z - \frac{1}{2}) - x_n(z - \frac{1}{2} + n)}{2} \right] f_{n-1}(x_{n-2}(z + \frac{1}{2}), x_{n-2}(s)) \\
&= \alpha_n f_n(x_{n-1}(z + \frac{1}{2}), x_{n-1}(s)) \\
&\quad + \left[ \frac{x_{n-1}(z) - x_{n-1}(z + n)}{2} \right] f_{n-1}(x_{n-2}(z + \frac{1}{2}), x_{n-2}(s)).
\end{aligned}$$

In the last step, we use the following relation obtained from (4)

$$\frac{x_{n-1}(z) - x_{n-1}(z + n)}{2} = -\gamma_n \nabla x_{2n}(z),$$

to obtain the equation

$$\mathbb{S}_x f_n(x_{n-1}(z), x_{n-1}(s)) = \alpha_n f_n(x_{n-1}(z + \frac{1}{2}), x_{n-1}(s)) - \frac{\gamma_n}{2} \nabla x_{2n}(z) f_{n-1}(x_{n-2}(z + \frac{1}{2}), x_{n-2}(s)),$$

97 and the proof is complete. □

98

### 99 **Proof of Theorem 2**

100 *Proof:* By replacing  $z$  by  $z - \frac{n-1}{2}$  in Equations (30) and (31), we obtain

$$\begin{aligned} \mathbb{D}_x f_n(x(z), x_{n-1}(s)) &= -\gamma_n f_{n-1}(x(z), x_{n-2}(s)); \\ \mathbb{S}_x f_n(x(z), x_{n-1}(s)) &= \alpha_n f_n(x_1(z), x_{n-1}(s)) - \frac{\gamma_n}{2} \nabla x_{n+1}(z) f_{n-1}(x(z), x_{n-2}(s)). \end{aligned}$$

Therefore, for  $\mathbb{S}_x f_n(x(z), x_{n-1}(s))$  to be a linear combination of  $f_n(x(z), x_{n-1}(s))$  and  $f_{n-1}(x(z), x_{n-2}(s))$ , it is necessary for the parameter  $z$  to be solution of

$$x_1(t) = x(t) \iff x(t + \frac{1}{2}) = x(t). \quad (38)$$

This solution is unique, provided that the coefficients  $c_j$  of (3) fulfil  $c_1 c_2 \neq 0$  or  $c_4 \neq 0$  for the quadratic lattice of the  $q$ -discrete and discrete variable, respectively. We denote this solution by  $z_x$  (which is a constant term with respect to the variable  $z$  but depend on the lattice  $x$ ), and the resulting basis by

$$F_n(x(s)) := (-1)^n f_n(x(z_x), x_{n-1}(s)).$$

101 This basis fulfils Relations (21) and (22).

102 The proof of Relations (23) and (24) is similar to those of Relations (21), (22).

103 For the proof of Relation (25), we use Relations (28), (32) and (33) for fixed non-negative integer  $n$ , and the  
104 fact that  $x_1(z_x) = x(z_x)$  with  $x_\mu(z) = x(z + \frac{\mu}{2})$ , to obtain

$$\begin{aligned} F_{n+1}(x(s)) &= (-1)^{n+1} f_{n+1}(x(z_x), x_n(s)) \\ &= (-1)^{n+1} f_{n+1}(x_1(z_x), x_n(s)) \\ &= (-1)^{n+1} f_{n+1}(x_n(z_x + \frac{1-n}{2}), x_n(s)) \\ &= (-1)^{n+1} g_{n+1}(x_n(z_x + \frac{1-n}{2}), x_n(s)) \\ &= (-1)^{n+1} \prod_{j=0}^n (x(z_x + \frac{1-n}{2} + j) - x(s)) \\ &= ((x(s) - x_{n+1}(z_x) - x(s)) (-1)^n \prod_{j=0}^{n-1} (x(z_x + \frac{1-n}{2} + j) - x(s)) \\ &= ((x(s) - x_{n+1}(z_x) - x(s)) (-1)^n g_n(x_{n-1}(z_x + \frac{1-n}{2}), x_{n-1}(s)) \\ &= ((x(s) - x_{n+1}(z_x) - x(s)) (-1)^n f_n(x_{n-1}(z_x + \frac{1-n}{2}), x_{n-1}(s)) \\ &= ((x(s) - x_{n+1}(z_x) - x(s)) (-1)^n f_n(x(z_x), x_{n-1}(s)) \\ &= (x(s) - x_{n+1}(z_x)) F_n(x(s)). \end{aligned}$$

Relation (26) is satisfied since, for integers  $n$ ,  $j$  and  $k$  such that  $k \geq 0$  and  $1 \leq j \leq n$ , we get by direct computation using (4) that

$$x_{n+k+1}(z_x) - x_j(z_x) \neq 0.$$

105 Therefore,  $F_n(x_k(z_x)) \neq 0$ ,  $k > n$ .

106 Relation (27) is proved by induction on  $n$ . □

107

108 Having proved Theorem 2, we would like now to give explicitly the basis  $F_n$  for specific classes of the lattice  
109  $x(s)$  and recover some known results.

110 **Proposition 5.** ① *The basis  $F_n$  is explicitly defined on the lattices  $x(s) = c_1q^{-s} + c_2qs + c_3$  (with  $c_1c_2 \neq 0$ )*  
111 *by*

$$F_n(x(s)) = \left(-c_1q^{-\left(\frac{n}{4}+z_x+\frac{1}{4}\right)}\right)^n \left(\frac{c_2}{c_1}q^{z_x+\frac{1}{2}}q^s; q^{1/2}\right)_n \left(q^{z_x+\frac{1}{2}}q^{-s}; q^{1/2}\right)_n \quad (39)$$

$$= \left(-c_1q^{-z_x}\right)^n \left(q^{z_x+\frac{1-n}{2}}q^{-s}, \frac{c_2}{c_1}q^{z_x+\frac{1-n}{2}}q^s; q\right)_n, \quad (40)$$

112 where  $z_x$  is defined by (19) and  $(a; q)_n = \prod_{k=0}^{n-1} (1 - aq^k)$ .

113 ② *In the particular case of the Askey-Wilson lattice  $x(s) = \frac{q^s+q^{-s}}{2}$  ( $c_1 = c_2 = \frac{1}{2}$ ,  $c_3 = 0$ ,  $z_x = -\frac{1}{4}$ ) the*  
114 *previous equations read*

$$F_n(x(s)) = \left(-\frac{q^{-\frac{n}{4}}}{2}\right)^n \left(q^{\frac{1}{4}}q^s; q^{\frac{1}{2}}\right)_n \left(q^{\frac{1}{4}}q^{-s}; q^{\frac{1}{2}}\right)_n \quad (41)$$

$$= \left(-\frac{q^{\frac{1}{4}}}{2}\right)^n \left(q^{\frac{1}{4}-\frac{n}{2}}q^s, q^{\frac{1}{4}-\frac{n}{2}}q^{-s}; q\right)_n. \quad (42)$$

115 **Proof:** If  $x(s) = c_2q^s + c_1q^{-s} + c_3$  with  $c_2c_1 \neq 0$ , then we obtain by direct computation using Equation (25)  
116 that

$$\begin{aligned} F_n(x(s)) &= \prod_{j=1}^n (x(s) - x_j(z_x)), \\ &= (-1)^n \prod_{j=1}^n \left( c_2q^{z_x+\frac{1}{2}}q^{\frac{j-1}{2}} + c_1q^{-z_x-\frac{1}{2}}q^{\frac{1-j}{2}} - c_2q^s - c_1q^{-s} \right) \\ &= (-1)^n \prod_{j=0}^{n-1} c_1q^{-z_x-\frac{j}{2}} \left( \frac{c_2}{c_1}q^{2z_x+1}q^j + 1 - \frac{c_2}{c_1}q^{z_x+\frac{1}{2}}q^s q^{\frac{j}{2}} - q^{-s}q^{z_x+\frac{1}{2}}q^{\frac{j}{2}} \right) \\ &= \left(-c_1q^{-\left(z_x+\frac{1}{4}+\frac{n}{4}\right)}\right)^n \left(\frac{c_2}{c_1}q^{z_x+\frac{1}{2}}q^s; q^{1/2}\right)_n \left(q^{z_x+\frac{1}{2}}q^{-s}; q^{1/2}\right)_n. \end{aligned}$$

The proof of Relation (40) is obtained in a similar way but with Equation (25) replaced by Relation

$$F_n(x(s)) = (-1)^n \prod_{j=0}^n (x_{-n+1}(z_x) - x(s))$$

117 obtained by combination of Relations (18) and (28). □

118

**Remark 6.** *It should be mentioned that for the specific case of the Askey-Wilson lattice our basis  $F_n$  coincides (up to a multiplicative factor) to the basis  $\phi_n$  used by Ismail [10] (Equation 1.4, page 261)*

$$\phi_n(\cos \theta) = \left(q^{\frac{1}{4}}q^s; q^{\frac{1}{2}}\right)_n \left(q^{\frac{1}{4}}q^{-s}; q^{\frac{1}{2}}\right)_n = \left(-\frac{q^{-\frac{n}{4}}}{2}\right)^{-n} F_n(x), \quad x = \cos \theta = \frac{e^{i\theta} + e^{-i\theta}}{2} = \frac{q^s + q^{-s}}{2}$$

119 to provide the Taylor expansion of a polynomial in terms of the basis  $\phi_n$ .

120 **Proposition 7.** ① *The basis  $F_n$  is explicitly defined on the lattices  $x(s) = c_1 s^2 + c_2 s + c_3$  (with  $c_1 c_2 \neq 0$ ) by*

$$F_n(x(s)) = \left(-\frac{c_1}{4}\right)^n \left(-2s + \frac{1}{2} - \frac{c_2}{c_1}\right)_n \left(2s + \frac{1}{2} + \frac{c_2}{c_1}\right)_n, \quad (43)$$

$$= (-c_1)^n \left(s + \frac{1}{4} - \frac{n}{2} - \frac{c_2}{2c_1}\right)_n \left(-s + \frac{1}{4} - \frac{n}{2} + \frac{c_2}{2c_1}\right)_n, \quad (44)$$

121 where  $(a)_n = \prod_{k=0}^{n-1} (a+k)$

122 ② *In the particular case of the Racah lattice  $x(s) = s(s + \gamma + \delta + 1)$  ( $c_1 = 1$ ,  $c_2 = \gamma + \delta + 1$ ,  $c_3 = 0$ ,  $z_x =$   
123  $-\frac{1}{4} - \frac{\gamma + \delta + 1}{2}$ ) the previous equations read*

$$F_n(x(s)) = \left(\frac{-1}{4}\right)^n \left(-2s - \gamma - \delta - \frac{1}{2}\right)_n \left(2s + \gamma + \delta + \frac{3}{2}\right)_n$$

$$= (-1)^n \left(s - \frac{1}{4} - \frac{n}{2} - \frac{\gamma + \delta}{2}\right)_n \left(-s + \frac{3}{4} - \frac{n}{2} + \frac{\gamma + \delta}{2}\right)_n.$$

124 *Proof:* The proof of this Proposition is similar to the one of Proposition 5. □

125

126 In the sequel we treat series representations of functions on our lattices which either converge or are considered  
127 as formal series. We will not examine convergence issues.

### Theorem 8.

*Let  $f(x(s))$  be a function of  $x(s)$ . Then,  $f$  can be expanded in the basis  $F_n(x(s))$*

$$f(x(s)) = \sum_{k=0}^{\infty} d_k F_k(x(s)),$$

where

$$d_k = \frac{\mathbb{D}_x^k f(x(z_x))}{\gamma_k!}, \quad \gamma_k! = \prod_{j=1}^k \gamma_j, \quad k \geq 1, \quad \gamma_0! = 1.$$

*Proof:* Assume  $f$  is a function of  $x(s)$  and write  $f_N(x(s)) = \sum_{k=0}^N d_k F_k(x(s))$ . Then for  $0 \leq k \leq N$ ,

$$\mathbb{D}_x^k f_N(x(s)) \Big|_{s=z_x} = \gamma_k! d_k,$$

128 since  $F_k(x(z_x)) = 0, \forall k \geq 1$ . □

129

In particular, for  $f(x(s)) = \frac{1}{x(z) - x(s)}$ ,  $z \neq s$ , we get

$$d_k = \frac{1}{\gamma_k!} \mathbb{D}_x^k \frac{1}{x(z) - x(s)} \Big|_{s=z_x} = \frac{1}{F_{k+1}(x(z))},$$

130 by induction using (4). We therefore state the following result as consequence of the previous theorem:

### Corollary 9.

*For  $z \neq s$  the following formal expansion holds:*

$$\frac{1}{x(z) - x(s)} = \sum_{k=0}^{\infty} \frac{F_k(x(s))}{F_{k+1}(x(z))}.$$

131 **Remark 10.** *Theorem 8 constitutes a generalization of the Taylor expansion given by Ismail [10] (Theorem 2.1,*  
132 *page 262) for basis  $F_n$  restricted to the Askey-Wilson case given above in Proposition 5.*

133 **3. Algorithmic Series Solutions of Divided-Difference Equations I**

134 The basis  $F_n$  is relevant for the companion operators and provides a method to obtain series solutions of divided-  
135 difference equations.

**Theorem 11.**

If

$$y(x(s)) = \sum_{k=0}^{\infty} d_k F_k(x(s)) \quad (45)$$

is a series solution of the equation

$$\phi(x(s)) \mathbb{D}_x^2 y(x(s)) + \psi(x(s)) \mathbb{S}_x \mathbb{D}_x y(x(s)) + \lambda y(x(s)) = 0, \quad (46)$$

where  $\lambda$  is a constant,  $\phi$  and  $\psi$  are polynomials of degree at most two and one, respectively, and given by

$$\phi(x(s)) = \phi_2 F_2(x(s)) + \phi_1 F_1(x(s)) + \phi_0, \psi(x(s)) = \psi_1 F_1(x(s)) + \psi_0,$$

then the coefficients  $(d_n)_n$  satisfy a second-order recurrence equation

$$A_k d_{k+2} + B_k d_{k+1} + C_k d_k = 0, \quad k \geq 0, \quad (47)$$

136 with

$$\begin{aligned} A_k &= \left[ \phi(x_{k+1}(z_x)) + \frac{\nabla x_{k+2}(z_x)}{2} \psi(x_{k+1}(z_x)) \right] \gamma_{k+1} \gamma_{k+2}; \\ B_k &= \left[ \gamma_k \Theta_{z_x + \frac{k}{2}} \phi(x_{k+1}(z_x)) + \alpha_k \psi(x_{k+1}(z_x)) + \frac{\gamma_k \nabla x_{k+1}(z_x)}{2} \psi_1 \right] \gamma_{k+1}; \\ C_k &= \gamma_k \gamma_{k-1} \phi_2 + \gamma_k \alpha_{k-1} \psi_1 + \lambda, \end{aligned}$$

where

$$\Theta_a f(x(s)) = \frac{f(x(s)) - f(x(a))}{x(s) - x(a)}. \quad (48)$$

137 *Proof:* In the first step, we apply the companion operators to (45) and, taking into account (21) and (22), we  
138 get

$$\mathbb{D}_x^2 y(x(s)) = \sum_{k=2}^{\infty} d_k \gamma_k \gamma_{k-1} F_{k-2}(x(s)); \quad (49)$$

$$\mathbb{S}_x \mathbb{D}_x y(x(s)) = \sum_{k=1}^{\infty} d_k \gamma_k \alpha_{k-1} F_{k-1}(x(s)) + \sum_{k=2}^{\infty} \frac{d_k \gamma_k \gamma_{k-1} \nabla x_k(z_x)}{2} F_{k-2}(x(s)). \quad (50)$$

139 In the next step, we use (49) and (50) in (46) and the following relations obtained by iterating (25):

$$\begin{aligned} F_1(x(s)) F_n(x(s)) &= F_{n+1}(x(s)) + F_1(x_{n+1}(z_x)) F_n(x(s)); \\ F_2(x(s)) F_n(x(s)) &= F_{n+2}(x(s)) + \Theta_{z_x + \frac{n+1}{2}} F_2(x_{n+2}(z_x)) F_{n+1}(x(s)) \\ &\quad + F_2(x_{n+1}(z_x)) F_n(x(s)), \end{aligned}$$

to get an equation of type

$$\sum_{n=0}^{\infty} A_{k-2} d_k F_{k-2}(x(s)) + B_{k-1} d_k F_{k-1}(x(s)) + C_k d_k F_k(x(s)) = 0, \quad \text{with } A_{-j} = B_{-j} = 0, \quad j \geq 1.$$

The proof is completed by transforming the previous equation into

$$\sum_{k=0}^{\infty} (A_k d_{k+2} + B_k d_{k+1} + C_k d_k) F_k(x(s)) = 0,$$

140 and, using the fact that  $(F_k)_k$  is a basis of  $\mathbb{C}[x(s)]$ . □

141

**Remark 12.**

If (46) has a polynomial solution of degree  $n$ , then the relation  $d_{n+2} = d_{n+1} = 0$ ,  $d_n \neq 0$ , combined with (47) gives

$$\lambda = \lambda_n = -\gamma_n \gamma_{n-1} \phi_2 - \gamma_n \alpha_{n-1} \psi_1,$$

142 which coincides with the result in [6].

143 The previous theorem can be extended to solve divided-difference equations of arbitrary order with polynomial  
144 coefficients. For this, we need the following results:

**Proposition 13.**

$$\mathbb{D}_x^k F_n(x(s)) = \left[ \prod_{j=0}^{k-1} \gamma_{n-j} \right] F_{n-k}(x(s)) = \frac{\gamma_n!}{\gamma_{n-k}!} F_{n-k}(x(s)), \quad k \leq n; \quad (51)$$

$$F_k(x(s)) F_n(x(s)) = \sum_{j=0}^k C_{n+j} F_{n+j}, \quad \text{with}$$

$$C_{n+j} = \Theta_{z_x + \frac{n+j}{2}} \circ \Theta_{z_x + \frac{n+j-1}{2}} \dots \circ \Theta_{z_x + \frac{n+1}{2}} F_k(x(s)) \Big|_{s=z_x + \frac{n+j+1}{2}}, \quad 1 \leq j \leq k \leq n,$$

$$C_n = F_k(x_{n+1}(z_x))$$

145 *Proof:* The first relation is obtained by iterating (21). We split the proof of the second relation into three  
146 steps:

In the first step, for fixed  $n$ ,  $k \geq 1$ , we expand  $F_k F_n$  in the basis  $F_l$

$$F_k(x(s)) F_n(x(s)) = \sum_{l=0}^{n+k} C_l F_l(x(s)) \quad (52)$$

and use the following relation due to (25)

$$F_k(x_j(z_x)) = 0, \quad 1 \leq j \leq k, \quad (53)$$

147 to get  $C_0 = F_k(x_1(z_x)) F_n(x_1(z_x)) = 0$ .

Considering (52) for  $x(s) = x_2(z_x)$  and  $C_0 = 0$ , we get using again (53) that

$$C_1 F_1(x_2(z_x)) = F_k(x_2(z_x)) F_n(x_2(z_x)) = 0, \quad n \geq 2.$$

Therefore,  $C_1 = 0$  thanks to (26). Progressively, we obtain in a similar way for a fixed integer  $j$  using (52), (53) and (26) that

$$C_0 = C_1 = \dots = C_j = 0, \quad n \geq j + 1.$$

In the second step, we rewrite accordingly Relation (52)

$$F_k(x(s)) F_n(x(s)) = \sum_{j=0}^k C_{n+j} F_{n+j}(x(s)),$$

and obtain using (25)

$$F_k(x(s)) = \sum_{j=0}^k C_{n+j} \frac{F_{n+j}(x(s))}{F_n(x(s))} = \sum_{j=0}^k C_{n+j} g_{n+j,n+1}(x(s)), \quad (54)$$

where

$$g_{n,j}(x(s)) = \prod_{l=j}^n (x(s) - x_l(z_x)), \quad 1 \leq j \leq n, \quad g_{n,n+1}(x(s)) \equiv 1, \quad g_{n,n+l}(x(s)) \equiv 0, \quad l > 1. \quad (55)$$

Use of Equation (54) for  $x(s) = x_{n+1}(z_x)$  gives taking into account Relation (53) and the fact that  $g_{n,n+1} \equiv 1$

$$C_n = F_k(x_{n+1}(z_x)).$$

In the third step, we apply the operator  $\Theta_a$  (defined in (48)) on (54) and use the relation

$$\Theta_{z_x + \frac{j}{2}} g_{n,j}(x(s)) = g_{n,j+1}(x(s)), \quad 1 \leq j \leq n,$$

— derived by direct computation — to obtain the relation

$$\Theta_{z_x + \frac{n+1}{2}} F_k(x(s)) = \sum_{j=1}^k C_{n+j} g_{n+j,n+2}(x(s)), \quad (56)$$

from which we deduce using again (53) that

$$C_{n+1} = \Theta_{z_x + \frac{n+1}{2}} F_k(x_{n+2}(z_x)).$$

148 The remaining coefficients  $C_{n+l}$ ,  $l \geq 2$  are obtained in the same way by successive application of

149  $\Theta_{z_x + \frac{n+l}{2}}$ ,  $2 \leq l \leq k$  on (56) and use of the  $g_{n,j}(x_j(z_x)) = 0$ ,  $1 \leq j \leq n$ . □

150

**Theorem 14.** *The coefficients  $c_n$  of a series solution*

$$y(x(s)) = \sum_{n=0}^{\infty} c_n F_n(x(s)), \quad (57)$$

of any divided-difference equation of the form

$$\sum_{i,j=0}^N P_{i,j}(x(s)) \mathbb{S}_x^i \mathbb{D}_x^j y(x(s)) = Q(x(s)), \quad (58)$$

151 where  $k \in \mathbb{N}$ , and  $P_{i,j}(x(s))$  and  $Q(x(s))$  are polynomials of arbitrary (but fixed) degree in the variable  $x(s)$ ,  
152 are solution of a linear difference equation.

*Proof:* Equation (58) can be transformed into an equation of type

$$\sum_{i=0}^1 \sum_{j=0}^M \tilde{P}_{i,j}(x(s)) \mathbb{S}_x^i \mathbb{D}_x^j y(x(s)) = Q(x(s)), \quad (59)$$

153 where  $M \in \mathbb{N}$ , and  $\tilde{P}_{i,j}(x(s))$  are polynomials of arbitrary (but fixed) degree in the variable  $x(s)$  using relations  
154 (12) and (13). The proof of the theorem is completed in the same way as in Theorem 11, substituting (57) in (59)  
155 and making use of Proposition 13. □

156



157 **Remark 15.** *This method works also when the coefficients  $P_{i,j}$  and  $Q$  are series expansions in our new basis.*  
 158 *Also, the previous result generalizes the one given by Atakishiyev and Suslov [3] in which they provide a method*  
 159 *to construct particular solutions to hypergeometric-type difference equations on a nonuniform lattice.*

160 **Remark 16.** *Theorems 11 and 14 provide a method of expanding solutions of Askey-Wilson operator equations in*  
 161 *terms of the basis  $\phi_n$  (see Remark 6) or  $F_n$ , providing therefore the solution of the problem raised by Ismail ([11],*  
 162 *page 518).*

163 The coefficients  $(d_{n,k})_j$  of the expansion of the Askey-Wilson polynomials into the basis  $(F_k)_k$  (see Proposition  
 164 25) are difficult to handle since they are not  $q$ -hypergeometric. In order to provide explicit and simple  
 165 representation of series solutions of divided-difference equations such as (8), we provide a second basis which  
 166 is compatible not with the operators  $\mathbb{D}_x$  and  $\mathbb{S}_x$  but rather with  $\mathbb{D}_x^2$  and  $\mathbb{S}_x\mathbb{D}_x$  and are therefore very useful  
 167 when searching for series solutions of divided-difference equations with polynomial coefficients, involving linear  
 168 combination of products of  $\mathbb{D}_x^2$  and  $\mathbb{S}_x\mathbb{D}_x$ .

#### 169 4. A New Basis Compatible with the Product of the Companion Operators

Expressing the Askey-Wilson polynomials (15) in terms of  $q$ -Pochhammer symbols

$$P_n(x; a, b, c, d|q) = \sum_{k=0}^n \frac{(q^{-n}, q)_k (abcdq^{n-1}, q)_k (aq^s, q)_k (aq^{-s}, q)_k q^k}{(ab, q)_k (ac, q)_k (ad, q)_k (q, q)_k}, \quad (60)$$

and the fact that these polynomials fulfil (8) suggests the study of the action of the companion operators on the function

$$B(a, x(s), n) = (aq^s, q)_n (aq^{-s}, q)_n, \quad n \geq 1, \quad B(a, x(s), 0) \equiv 1, \quad (61)$$

170 which happens to be a polynomial of degree  $n$  in  $x(s) = \frac{q^s + q^{-s}}{2}$ . By considering a more general situation, we get:

**Proposition 17.** *The general  $q$ -quadratic lattice*

$$x(s) = uq^s + vq^{-s}$$

and the corresponding polynomial basis

$$\hat{B}_n(a, u, v, x(s)) = (2auq^s, q)_n (2avq^{-s}, q)_n, \quad n \geq 1, \quad \hat{B}_0(a, u, v, x(s)) \equiv 1,$$

which we relabel as

$$B_n(a, s) \equiv \hat{B}_n(a, u, v, x(s))$$

171 fulfil the relations

$$\mathbb{D}_x B_n(a, s) = \eta(a, n) B_{n-1}(a\sqrt{q}, s); \quad (62)$$

$$\mathbb{S}_x B_n(a, s) = \beta_1(a, n) B_{n-1}(a\sqrt{q}, s) + \beta_2(n) B_n(a\sqrt{q}, s); \quad (63)$$

$$B_1(a, s) \mathbb{D}_x^2 B_n(a, s) = \eta(a, n) \eta(a\sqrt{q}, n-1) B_{n-1}(a, s); \quad (64)$$

$$B_1(a, s) \mathbb{S}_x \mathbb{D}_x B_n(a, s) = \eta(a, n) [\beta_1(a\sqrt{q}, n-1) B_{n-1}(a, s) + \beta_2(n-1) B_n(a, s)]; \quad (65)$$

$$x(s) B_n(a, s) = \mu_1(a, n) B_n(a, s) + \mu_2(n) B_{n+1}(a, s); \quad (66)$$

$$B_1(a, s) B_n(a, s) = \nu_1(a, n) B_n(a, s) + \nu_2(n) B_{n+1}(a, s); \quad (67)$$

$$B_1(a, s) B_n(aq, s) = B_{n+1}(a, s), \quad (68)$$

172 where

$$\begin{aligned}\mu_1(a, n) &= \frac{1 + 4a^2 uv q^{2n}}{2a q^n}, \quad \mu_2(a, n) = \frac{-1}{2a q^n}; \\ \nu_1(a, n) &= (1 - q^{-n})(1 - 4a^2 uv q^n), \quad \nu_2(n) = q^{-n}, \quad \eta(a, n) = \frac{2a(1 - q^n)}{q - 1}; \\ \beta_1(a, n) &= \frac{1}{2}(1 - 4a^2 uv q^{2n-1})(1 - q^{-n}), \quad \beta_2(n) = \frac{1}{2} + \frac{1}{2q^n}.\end{aligned}$$

173 **Proof:** The proof is obtained by direct computation. □

174

175 **Remark 18.** It should, however, be noted that for  $u = v = \frac{1}{2}$  Relation (62) appears as exercise in [18], page 34.

176 It also appears in [11], Equation (20.3.11), page 518.

177 From the previous proposition, it appears clearly that because of the appearance of  $a\sqrt{q}$  in Relations (62)  
178 and (63), the action of  $\mathbb{D}_x$  and  $\mathbb{S}_x$  on  $B_n(a, s)$  cannot be written as finite (number of terms not depending on  $n$ )  
179 linear combination of elements of the basis  $(B_k(a, s))_k$ . However, this problem is solved by using the operators  
180  $B_1(a, s)\mathbb{D}_x^2$  and  $B_1(a, s)\mathbb{S}_x\mathbb{D}_x$  instead, to obtain Relations (64) and (65).

181 Equation (8) can therefore be solved using the known coefficients  $\phi$  and  $\psi$  of Askey-Wilson. It can also  
182 be derived from the hypergeometric representation (60) hence obtaining the coefficients  $\phi$  and  $\psi$  and  $\lambda_n$  of the  
183 Askey-Wilson polynomials.

## 184 5. Algorithmic Series Solutions of Divided-Difference Equations II

### Theorem 19.

If

$$y(x(s)) = \sum_{k=0}^{\infty} d_k B_k(a, s) \quad (69)$$

is a series solution of the equation

$$\phi(x(s))\mathbb{D}_x^2 y(x(s)) + \psi(x(s))\mathbb{S}_x\mathbb{D}_x y(x(s)) + \lambda y(x(s)) = 0, \quad (70)$$

where  $\lambda$  is a constant,  $\phi$  and  $\psi$  are polynomials of degree at most two and one, respectively

$$\phi(x(s)) = \phi_2 x^2(s) + \phi_1 x(s) + \phi_0, \quad \psi(x(s)) = \psi_1 x(s) + \psi_0, \quad (71)$$

then the coefficients  $(d_k)_n$  satisfy a second-order difference equation

$$A_k d_{k+2} + B_k d_{k+1} + C_k d_k = 0, \quad k \geq 0, \quad (72)$$

185 with

$$\begin{aligned}A_k &= \eta(a, k+2) [\eta(a\sqrt{q}, k+1) \phi(\mu_1(a, k+1)) + \beta_1(a\sqrt{q}, k+1) \psi(\mu_1(a, k+1))]; \\ B_k &= \eta(a, k+1) \{ \eta(a\sqrt{q}, k) (\phi_2 \mu_1(a, k) \mu_2(a, k) + \phi_2 \mu_1(a, k+1) \mu_2(a, k) + \phi_1 \mu_2(a, k)) \\ &\quad + \beta_1(a\sqrt{q}, k) (\psi_1 \mu_2(a, k) + \beta_2(k) (\psi_1 \mu_1(a, k+1) + \psi_0)) \} + \lambda \nu_1(a, k+1) \\ C_k &= \phi_2 \mu_2(a, k-1) \mu_2(a, k) \eta(a, k) \eta(a\sqrt{q}, k-1) + \psi_1 \eta(a, k) \beta_2(k-1) \mu_2(a, k) + \lambda \nu_2(k).\end{aligned}$$

186 *Proof:* The proof is organised in three steps:

In the first step, we assume that (8) has a series solution of the form

$$y(x(s)) = \sum_{k=0}^{\infty} d_k B_k(a, x(s)),$$

187 then apply  $\mathbb{D}_x^2$  and  $\mathbb{S}_x \mathbb{D}_x$  on  $y(x(s))$  and use Equations (62) and (63) to get

$$\begin{aligned} & \phi(x(s)) \mathbb{D}_x^2 y(x(s)) + \psi(x(s)) \mathbb{S}_x \mathbb{D}_x y(x(s)) + \lambda_k y(x(s)) = 0 \\ \iff & \phi(x(s)) \sum_{k=0}^{\infty} d_k \eta(a, k) \eta(a \sqrt{q}, k-1) B_{k-2}(aq, x(s)) \\ & + \psi(x(s)) \sum_{k=0}^{\infty} d_k [\eta(a, k) \beta_1(a \sqrt{q}, k-1) B_{k-2}(aq, s) + \eta(a, k) \beta_2(k-1) B_{k-1}(aq, s)] \\ & + \lambda_k \sum_{k=0}^{\infty} d_k B_k(a, s) = 0. \end{aligned}$$

188 In the second step, we multiply the previous equation by  $B_1(a, x(s))$  and use of (64), (65) and (67) gives

$$\begin{aligned} & \phi(x(s)) \sum_{k=0}^{\infty} d_k \eta(a, k) \eta(a \sqrt{q}, k-1) B_{k-1}(a, s) \\ & + \psi(x(s)) \sum_{k=0}^{\infty} d_k [\eta(a, k) \beta_1(a \sqrt{q}, k-1) B_{k-1}(a, s) + \eta(a, k) \beta_2(k-1) B_k(a, s)] \\ & + \lambda_k \sum_{k=0}^{\infty} d_k [\nu_1(a, k) B_k(a, s) + \nu_2(k) B_{k+1}(a, s)] = 0. \end{aligned}$$

189

In the third step, we insert (71) into the previous equation and use (66) to eliminate all occurrences of  $x^j(s) B(a, x(s), k)$ ,  $j = 1, 2$  to obtain after some computation

$$\sum_{k=0}^{\infty} (A_k d_{k+2} + B_k d_{k+1} + C_k d_k) B_k(a, s) = 0,$$

190 where

$$\begin{aligned} A_k &= \eta(a, k+2) [\eta(a \sqrt{q}, k+1) \phi(\mu_1(a, k+1)) + \beta_1(a \sqrt{q}, k+1) \psi(\mu_1(a, k+1))]; \\ B_k &= \eta(a, k+1) \{ \eta(a \sqrt{q}, k) (\phi_2 \mu_1(a, k) \mu_2(k) + \phi_2 \mu_1(a, k+1) \mu_2(a, k) + \phi_1 \mu_2(a, k)) \\ & \quad + \beta_1(a \sqrt{q}, k) (\psi_1 \mu_2(a, k) + \psi_1 \mu_1(a, k+1) + \psi_0) \} + \lambda \nu_1(a, k+1) \\ C_k &= \phi_2 \mu_2(a, k-1) \mu_2(a, k) \eta(a, k) \eta(a \sqrt{q}, k-1) + \psi_1 \eta(a, k) \beta_2(k-1) \mu_2(a, k) + \lambda \nu_2(k). \end{aligned}$$

Therefore,  $d_k$  satisfies the difference equation

$$A_k d_{k+2} + B_k d_{k+1} + C_k d_k = 0, \quad k \geq 0, \quad (73)$$

191 since  $(B_k(a, s))_k$  is a basis of  $\mathbb{C}[x(s)]$ . □

192

193 The previous theorem can be extended to divided-difference equations of arbitrary order with polynomial  
194 coefficients, involving linear combinations of powers of the operators  $\mathbb{D}_x^2$  and  $\mathbb{S}_x \mathbb{D}_x$ . Such operators can be  
195 rewritten, using Relations (12) and (13), as linear combination of  $\mathbb{D}_x^{2j}$  and  $\mathbb{S}_x \mathbb{D}_x^{2j+1}$ ,  $j \geq 0$ . For this extension, we  
196 will need the following results, obtained by iteration of Relations (62)-(68).

197 **Proposition 20.** *The basis  $(B_n(a, s))_n$  satisfies the following relations:*

$$\begin{aligned}\mathbb{D}_x^{2k} B_n(a, s) &= \pi_{n,k} B_{n-2k}(aq^k, s), \quad 0 \leq 2k \leq n; \\ L_k(s) B_n(aq^k, s) &= B_{n+k}(a, s); \\ L_k(s) \mathbb{D}_x^{2k} B_n(a, s) &= \pi_{n,k} B_{n-k}(a, s); \\ L_{k+1}(s) \mathbb{S}_x \mathbb{D}_x^{2k+1} B_n(a, s) &= I_{n,k} B_{n-k-1}(a, s) + J_{n,k} B_{n-k}(a, s),\end{aligned}$$

198 *where*

$$\begin{aligned}L_k(s) &= \prod_{j=0}^{k-1} B_1(aq^j, s), \quad \pi_{n,k} = \prod_{j=0}^{2k-1} \eta(aq^{\frac{j}{2}}, n-j); \\ I_{n,k} &= \pi_{n,k} \eta(aq^k, n-2k) \beta_1(aq^{k+\frac{1}{2}}, n-2k-1); \\ J_{n,k} &= \pi_{n,k} \eta(aq^k, n-2k) \beta_2(aq^{k+\frac{1}{2}}, n-2k-1).\end{aligned}$$

199 We now state the following theorem which can be proved in the same way as Theorem 19 but using instead the  
200 equations of the previous proposition.

**Theorem 21.** *If*

$$y(x(s)) = \sum_{k=0}^{\infty} d_n B_n(a, s)$$

*is a series solution of the divided-difference equation*

$$\left[ \sum_{j=0}^M P_j(x(s)) \mathbb{D}_x^{2j} + \sum_{j=0}^N Q_j(x(s)) \mathbb{S}_x \mathbb{D}_x^{2j+1} \right] y(x(s)) = T(x(s)) \quad (74)$$

201 *where  $P_j$ ,  $Q_j$  and  $T$  are polynomials in the variable  $x(s)$ , then the coefficients  $(d_k)_n$  satisfy a linear difference  
202 equation of maximal order  $\max(2M, 2N + 1)$ .*

203 In the following results, Theorem 19 is used to solve Equation (1) for the lattice  $x(s) = \frac{q^s + q^{-s}}{2}$  and for the  
204 coefficients  $\phi$  and  $\psi$  given by (16) to get the representation of the Askey-Wilson polynomials given by (15). It is  
205 also used to recover the polynomials  $\phi$ ,  $\psi$  and the constant  $\lambda_n$  assuming that (15) satisfies (1).

206 **Theorem 22.**

207 *The Askey-Wilson polynomials  $P_n(x, a, b, c, d|q)$  satisfy a divided-difference equation of the form (8) if and only  
208 if the polynomial coefficients  $\phi$  and  $\psi$  are, up to a multiplicative factor, those of Askey-Wilson given by (16), and  
209  $\lambda = \lambda_n$  given by (2).*

210 *Proof:* The proof is organized in two steps:

In the first step, we assume that the Askey-Wilson polynomials  $P_n(x; a, b, c, d|q)$  satisfy (8). This implies that

$$d_n \neq 0, \quad d_{n+2} = d_{n+1} = C_n = 0,$$

and

$$d_k = \frac{(q^{-n}, q)_k (abcdq^{n-1}, q)_k q^k}{(ab, q)_k (ac, q)_k (ad, q)_k (q, q)_k} \quad (75)$$

211 is solution of (73). The condition  $C_n = 0$  provides the constant

$$\lambda = \lambda_n = -4 \frac{(-q + abcdq^n) \sqrt{q} (-1 + q^n)}{(-1 + q)^2 q^n} \quad (76)$$

which is a special case of (2). By substituting  $\lambda = \lambda_n$  and the previous expression of  $d_k$  into (73), we obtain after simplification an equation of the form

$$\sum_{k=0}^N H_k(\phi_2, \phi_1, \phi_0, \psi_1, \psi_0) q^{kn} = 0,$$

212 where the  $H_k(\phi_2, \phi_1, \phi_0, \psi_1, \psi_0)$  are linear combinations of the coefficients of  $\phi$  and  $\psi$ . Solving the system of  
 213 linear equations  $H_k(\phi_2, \phi_1, \phi_0, \psi_1, \psi_0) = 0$ ,  $0 \leq k \leq N$  in terms of the coefficients  $\phi_j$  and  $\psi_j$ , we obtain, up to  
 214 a multiplicative factor, the coefficients of the polynomials given in (16).

215 In the second step, we substitute the coefficients  $\phi$  and  $\psi$  of (16), as well as the coefficient  $\lambda_n$  of (76) in (73) to  
 216 obtain the following recurrence equation for  $d_k$ :

$$\begin{aligned} & 4q^n q (q^{k+1} - 1) (a^2 q^{k+1} - 1) (acq^{k+1} - 1) (adq^{k+1} - 1) (qq^{k+1} - 1) (abq^{k+1} - 1) d_{k+2} \\ & + 4 (q^{k+1} - 1) \left\{ - (q^{k+1})^3 a^3 q^n bcd - (q^{k+1})^3 a^3 qq^n bcd + (q^{k+1})^2 q^n abcdq + (q^{k+1})^2 a^2 qq^n bc \right. \\ & + (q^{k+1})^2 a^3 q (q^n)^2 bcd + (q^{k+1})^2 q^2 a^2 + (q^{k+1})^2 a^2 qq^n cd + (q^{k+1})^2 a^2 qq^n bd - q^{k+1} q^2 \\ & \left. - q^{k+1} q (q^n)^2 abcd - q^{k+1} a^2 q^2 q^n - q^{k+1} aq^2 q^n c - q^{k+1} aq^2 q^n d - q^{k+1} aq^2 q^n b + q^3 q^n + q^2 q^n \right\} d_{k+1} \\ & - 4 (q^n q - q^{k+1}) q (q^{k+1} abcdq^n - q^2) d_k = 0. \end{aligned} \quad (77)$$

218 In [1] and [4], see also [12], algorithms were presented to find all solutions of an arbitrary  $q$ -holonomic difference  
 219 equation in terms of linear combinations of  $q$ -hypergeometric terms. This algorithm was tuned and made much  
 220 more efficient in ([9], [8]), and a *Maple* implementation was made available in [16]. For the purpose to solve  
 221 the second order  $q$ -difference equation (77) in terms of hypergeometric terms, we have used the command  
 222 `qrecsolve` from the `qsum` package [4] (one could also use the command `qHypergeomSolveRE` of the `qFPS`  
 223 package [16]), to obtain the coefficients  $d_k$  given in (75). Details of this computation can be found in a *Maple* file  
 224 made available on [www.mathematik.uni-kassel.de/~koeopf/Publikationen](http://www.mathematik.uni-kassel.de/~koeopf/Publikationen).  $\square$

225

## 226 6. Applications and Illustrations

227 In this section we provide two applications for the basis  $F_k$ : The first gives a new representation of the formal  
 228 Stieltjes series in terms of the basis  $F_k$ , while the second gives a representation of the basic exponential and  
 229 trigonometric functions in terms of the basis  $F_k$ .

*6.1. Series expansion of the formal Stieltjes series* Using Corollary 9 we define the formal Stieltjes series corresponding to a functional  $\mathcal{L}$  as

$$S(\mathcal{L})(x(z)) = \sum_{k=0}^{\infty} \frac{\mu_k}{F_{k+1}(x(z))} \quad \text{with } \mu_k = \langle \mathcal{L}, F_k \rangle, \quad (78)$$

230 and obtain the following results:

231 **Theorem 23.** *The following results hold:*

$$S(\mathbb{D}_x \mathcal{L})(s) = \mathbb{D}_x S(\mathcal{L})(s), \quad (79)$$

$$S(\mathbb{S}_x \mathcal{L})(s) = \alpha \mathbb{S}_x S(\mathcal{L})(s) + U_1 \mathbb{D}_x S(\mathcal{L})(s), \quad (80)$$

where the actions of  $\mathbb{D}_x$  and  $\mathbb{S}_x$  on  $\mathcal{L}$  are defined as

$$\langle \mathbb{D}_x \mathcal{L}, P \rangle = -\langle \mathcal{L}, \mathbb{D}_x P \rangle, \quad \langle \mathbb{S}_x \mathcal{L}, P \rangle = \langle \mathcal{L}, \mathbb{S}_x P \rangle, \quad \forall P \in \mathbb{C}[x(s)],$$

and the product of a polynomial  $f$  by a linear functional  $\mathcal{L}$ ,  $f\mathcal{L}$ , is defined by

$$\langle f\mathcal{L}, P \rangle = \langle \mathcal{L}, fP \rangle, \quad \forall P \in \mathbb{C}[x(s)].$$

232 *Proof:* Relation (79) is obtained by direct computation using Equations (21) and (23). The proof of (80) uses  
233 the following results:

$$S(U_1(x(s))\mathbb{D}_x \mathcal{L}) = U_1(x(s))S(\mathbb{D}_x \mathcal{L}); \quad (81)$$

$$\mathbb{S}_x F_n + \mathbb{D}_x(U_1 F_n) = \alpha(\alpha_{n+1} F_n + \frac{\gamma_n}{2} \nabla x_{n+2}(z_x) F_{n-1}). \quad (82)$$

Relation (81) is obtained using the well-known result by Maroni [15]

$$S[f\mathcal{L}](x) = f(x)S[\mathcal{L}](x) + (\mathcal{L}\theta_0 f)(x), \quad f \in \mathbb{C}[x], \quad (83)$$

where

$$\theta_0 f(x) = \frac{f(x) - f(0)}{x},$$

and

$$\mathcal{L}g(x(s)) = \sum_{k=0}^n g_k \sum_{j=0}^k \langle \mathcal{L}, x^j(s) \rangle x^{k-j}(s), \quad \text{with } g(x(s)) = \sum_{k=0}^n g_k x^k(s), \quad n \geq 0.$$

234 Relation (82) is derived by direct computation using (3), (14), (19), (21) and (22).

235 Coming back to the proof of Relation (80), we combine (81), (82) (22), (24) and the fact that  $\gamma_0 = 0$  to get:

$$\begin{aligned} S(\mathbb{S}_x \mathcal{L})(x(s)) - U_1(x(s))\mathbb{D}_x(S(\mathcal{L}))(x(s)) &= S(\mathbb{S}_x \mathcal{L}) - S(U_1(x(s))\mathbb{D}_x \mathcal{L}); \\ &= S(\mathbb{S}_x \mathcal{L} - U_1(x(s))\mathbb{D}_x \mathcal{L}) \\ &= \sum_{n=0}^{\infty} \frac{\langle \mathcal{L}, \mathbb{S}_x F_n + \mathbb{D}_x(U_1 F_n) \rangle}{F_{n+1}(x(s))} \\ &= \alpha \sum_{n=0}^{\infty} \frac{\langle \mathcal{L}, (\alpha_{n+1} F_n + \frac{\gamma_n}{2} \nabla x_{n+2}(z_x) F_{n-1}) \rangle}{F_{n+1}(x(s))} \\ &= \alpha \sum_{n=0}^{\infty} \frac{\langle \mathcal{L}, \alpha_{n+1} F_n \rangle}{F_{n+1}(x(s))} + \alpha \sum_{n=0}^{\infty} \frac{\langle \mathcal{L}, \gamma_n \nabla x_{n+2}(z_x) F_{n-1} \rangle}{2F_{n+1}(x(s))} \\ &= \alpha \sum_{n=0}^{\infty} \frac{\langle \mathcal{L}, \alpha_{n+1} F_n \rangle}{F_{n+1}(x(s))} + \alpha \sum_{n=1}^{\infty} \frac{\langle \mathcal{L}, \gamma_n \nabla x_{n+2}(z_x) F_{n-1} \rangle}{2F_{n+1}(x(s))} \\ &= \alpha \sum_{n=0}^{\infty} \frac{\langle \mathcal{L}, \alpha_{n+1} F_n \rangle}{F_{n+1}(x(s))} + \alpha \sum_{n=0}^{\infty} \frac{\langle \mathcal{L}, \gamma_{n+1} \nabla x_{n+3}(z_x) F_n \rangle}{2F_{n+2}(x(s))} \\ &= \alpha \sum_{n=0}^{\infty} \langle \mathcal{L}, F_n \rangle \left( \frac{\alpha_{n+1}}{F_{n+1}(x(s))} + \frac{\gamma_{n+1} \nabla x_{n+3}(z_x)}{2F_{n+2}(x(s))} \right) \\ &= \alpha \sum_{n=0}^{\infty} \langle \mathcal{L}, F_n \rangle \mathbb{S}_x \frac{1}{F_{n+1}(x(s))} \\ &= \alpha \mathbb{S}_x(S(\mathcal{L}))(x(s)). \end{aligned}$$

6.2. *Series expansion of the basic exponential function* In this sub-section, we represent the basic exponential function in terms of the basis  $(F_k)_k$ . The basic exponential function  $\mathcal{E}_q(x(s); w)$  can be defined using the representation by Ismail and Stanton (see [18] page 21 and references therein)

$$\mathcal{E}_q(x; w) = \frac{(-w; q^{\frac{1}{2}})_{\infty}}{(qw^2; q^2)_{\infty}} {}_2\varphi_1 \left( \begin{matrix} q^{\frac{1}{4}} e^{i\theta}, q^{\frac{1}{4}} e^{-i\theta} \\ -q^{\frac{1}{2}} \end{matrix} \middle| q^{\frac{1}{2}}; -w \right), \quad x = \cos \theta,$$

238 where  $(a, q)_{\infty} = \prod_{n=0}^{\infty} (1 - aq^n)$ .

By putting  $e^{i\theta} = q^s$  and therefore

$$x = \cos \theta = \frac{e^{i\theta} + e^{-i\theta}}{2} = \frac{q^s + q^{-s}}{2} = x(s),$$

$\mathcal{E}_q(x(s); w)$  satisfies the following first-order divided-difference equation ([18], page 18)

$$\mathbb{D}_x y(x(s)) = \frac{2wq^{\frac{1}{4}}}{1 - q} y(x(s)).$$

By inserting the series expansion of  $y(x(s))$  in terms of  $(F_k)_k$

$$y(x(s)) = \sum_{n=0}^{\infty} a_n F_n(x(s))$$

in the previous first-order divided-difference equation and following the method developed in Theorem 11, we get the following recurrence equation for  $a_n$

$$a_{n+1} = \frac{2wq^{\frac{1}{4}}}{(1 - q)\gamma_{n+1}} a_n,$$

from which we deduce that

$$a_n = \left( \frac{2wq^{\frac{1}{4}}}{1 - q} \right)^n \frac{a_0}{\gamma_n!}, \quad \gamma_0! \equiv 1.$$

239 Therefore we have, taking into account Equation (42), the following representation of the basic exponential  
240 function

$$\begin{aligned} \mathcal{E}_q(x(s); w) &= a_0 \sum_{n=0}^{\infty} \left( \frac{2wq^{\frac{1}{4}}}{1 - q} \right)^n \frac{F_n(x(s))}{\gamma_n!} \\ &= a_0 \sum_{n=0}^{\infty} \left( \frac{wq^{\frac{1}{2}}}{q - 1} \right)^n \frac{1}{\gamma_n!} \left( q^{\frac{1-2n}{4}} q^s; q \right)_n \left( q^{\frac{1-2n}{4}} q^{-s}; q \right)_n, \end{aligned}$$

where  $a_0$  is a suitable constant which from the fact that  $F_n(x_1(z_x)) = 0$ ,  $n \geq 1$  is given by

$$a_0 = \mathcal{E}_q(x_1(z_x), w) = \frac{(-w; q^{\frac{1}{2}})_{\infty}}{(qw^2; q^2)_{\infty}},$$

241 with the last expression taken from [18] (Equation 2.3.10, Page 18).

## 242 6.3. Series expansion of the basic trigonometric functions

243 In this sub-section, we represent the basic trigonometric functions in terms of the basis  $(F_k)_k$ . The basic  
244 trigonometric cosine and sine functions are defined respectively by (see [18, page 23])

$$C_q(x; w) = \frac{(-w^2; q^2)_\infty}{(-qw^2; q^2)_\infty} {}_2\varphi_1 \left( \begin{matrix} -qe^{2i\theta}, -qe^{-2i\theta} \\ q \end{matrix} \middle| q^2; -w^2 \right),$$

$$S_q(x; w) = \frac{(-w^2; q^2)_\infty}{(-qw^2; q^2)_\infty} \frac{2wq^{\frac{1}{4}}}{1-q} \cos \theta {}_2\varphi_1 \left( \begin{matrix} -qe^{2i\theta}, -qe^{-2i\theta} \\ q \end{matrix} \middle| q^2; -w^2 \right), \quad x = \cos \theta, |w| < 1.$$

By putting  $e^{i\theta} = q^s$ , the functions  $C_q(x(s); w)$  and  $S_q(x(s); w)$  satisfy the following second-order divided-difference equation ([18], page 26)

$$\mathbb{D}_x^2 y(x(s)) = - \left( \frac{2wq^{\frac{1}{4}}}{1-q} \right)^2 y(x(s)). \quad (84)$$

By inserting the series expansion of  $y(x(s))$  in terms of  $(F_k)_k$

$$y(x(s)) = \sum_{n=0}^{\infty} b_n F_n(x(s))$$

in (84) and following the method developed in Theorem 11, we get the following recurrence equation

$$b_{n+2} = - \left( \frac{2wq^{\frac{1}{4}}}{1-q} \right)^2 \frac{1}{\gamma_{n+2}\gamma_{n+1}} b_n,$$

from which we deduce that

$$b_{2n} = (-1)^n \left( \frac{2wq^{\frac{1}{4}}}{1-q} \right)^{2n} \frac{b_0}{\gamma_{2n}!} \quad \text{and} \quad b_{2n+1} = (-1)^n \left( \frac{2wq^{\frac{1}{4}}}{1-q} \right)^{2n} \frac{b_1}{\gamma_{2n+1}!}.$$

245 Therefore the two linearly independent solutions (84) are, taking into account the explicit representation of  $F_n$   
246 given in (42) for the Askey-Wilson lattice

$$\begin{aligned} A_q(x(s), w) &= \sum_{n=0}^{\infty} (-1)^n \left( \frac{2wq^{\frac{1}{4}}}{1-q} \right)^{2n} \frac{F_{2n}(x(s))}{\gamma_{2n}!} \\ &= \sum_{n=0}^{\infty} \left( \frac{wq^{\frac{1}{2}}}{1-q} \right)^{2n} \frac{(-1)^n}{\gamma_{2n}!} \left( q^{\frac{1-4n}{4}} q^s; q \right)_{2n} \left( q^{\frac{1-4n}{4}} q^{-s}; q \right)_{2n}, \end{aligned}$$

247 and

$$\begin{aligned} B_q(x(s), w) &= \sum_{n=0}^{\infty} (-1)^n \left( \frac{2wq^{\frac{1}{4}}}{1-q} \right)^{2n} \frac{F_{2n+1}(x(s))}{\gamma_{2n+1}!} \\ &= \sum_{n=0}^{\infty} \left( \frac{wq^{\frac{1}{2}}}{1-q} \right)^{2n} \frac{(-1)^n}{\gamma_{2n+1}!} \left( q^{\frac{1-2n}{2}} q^s; q \right)_{2n+1} \left( q^{\frac{1-2n}{2}} q^{-s}; q \right)_{2n+1}. \end{aligned}$$

Since the functions  $C_q$  and  $S_q$  are both solutions of (84) which is a second-order linear divided-difference equation, they can be expressed as linear combination of the solutions  $A_q$  and  $B_q$ :

$$C_q(x(s), w) = u_0 A_q(x(s), w) + u_1 B_q(x(s), w), \quad S_q(x(s), w) = v_0 A_q(x(s), w) + v_1 B_q(x(s), w), \quad (85)$$



248 where  $u_i$  and  $v_i$  are constants.

Combining (85) with the following relations derived by direct computation

$$\mathbb{D}_x A_q(x(s), w) = - \left( \frac{2wq^{\frac{1}{4}}}{1-q} \right)^2 B_q(x(s), w), \quad \mathbb{D}_x B_q(x(s), w) = A_q(x(s), w),$$

and using the relations (see [18], page 26)

$$\mathbb{D}_x C_q(x(s), w) = - \frac{2wq^{\frac{1}{4}}}{1-q} S_q(x(s), w), \quad \mathbb{D}_x S_q(x(s), w) = \frac{2wq^{\frac{1}{4}}}{1-q} C_q(x(s), w),$$

gives

$$u_1 = -\lambda v_0, \quad v_1 = \lambda u_0, \quad \text{with } \lambda = \frac{2wq^{\frac{1}{4}}}{1-q}. \quad (86)$$

Use of the fact that  $F_n(x_1(z_x)) = 0$ ,  $n \geq 1$  gives the relation

$$A_q(x_1(z_x)) = 1, \quad B_q(x_1(z_x)) = 0$$

which combined with (85) leads to

$$u_0 = C_q(x_1(z_x)), \quad v_0 = S_q(x_1(z_x)).$$

249 We therefore have the following representation of the  $C_q$  and  $S_q$  functions:

$$\begin{aligned} C_q(x(s), w) &= C_q(x_1(z_x))A_q(x(s), w) - \lambda S_q(x_1(z_x))B_q(x(s), w), \\ S_q(x(s), w) &= S_q(x_1(z_x))A_q(x(s), w) + \lambda C_q(x_1(z_x))B_q(x(s), w), \end{aligned}$$

where the evaluation of the functions  $C_q$  and  $S_q$  on  $x_1(z_x) = x(-\frac{1}{4}) = \frac{q^{\frac{1}{4}} + q^{-\frac{1}{4}}}{2}$  are given respectively by (see [18] page 27, equations 2.4.19 and 2.4.20)

$$C_q(x_1(z_x)) = \frac{(-iw; q^{\frac{1}{2}})_{\infty} + (iw; q^{\frac{1}{2}})_{\infty}}{2(-qw^2; q^2)_{\infty}}, \quad S_q(x_1(z_x)) = \frac{(-iw; q^{\frac{1}{2}})_{\infty} - (iw; q^{\frac{1}{2}})_{\infty}}{2i(-qw^2; q^2)_{\infty}}.$$

250 **6.4. Connection coefficients between the basis  $(F_k)_k$  and  $(B_k(a, s))_k$**  The basis  $(F_k)_k$  and  $(B_k(a, s))_k$

251 are connected in the following ways

**Proposition 24.**

$$F_n(x(s)) = \sum_{j=0}^n r_{n,j} B_j(a, s), \quad B_n(a, s) = \sum_{j=0}^n s_{n,j} F_j(x(s)), \quad (87)$$

252 where

$$r_{n,k} = \frac{\gamma_n!}{\gamma_{n-k}!} \frac{F_{n-k}(\epsilon_{0,k})}{\prod_{l=0}^{k-1} \eta(aq^{\frac{l}{2}}, k-l)}, \quad 0 \leq k \leq n, \quad n \geq 1, \quad (88)$$

$$s_{n,k} = \frac{1}{\gamma_k!} B_{n-k} \left( aq^{\frac{k}{2}}, z_x + \frac{1}{2} \right) \prod_{l=0}^{k-1} \eta(aq^{\frac{l}{2}}, n-l), \quad 0 \leq k \leq n, \quad n \geq 1, \quad (89)$$

and

$$\epsilon_{j,k} = \frac{1 + 4a^2 u^2 v^2 q^{2j+k}}{4aq^{j+\frac{k}{2}}}. \quad (90)$$

253 *Proof:* We first apply the operator  $\mathbb{D}_x^k$  on both members of (87) for fixed non-negative integers  $n \geq 1$  and  
 254  $k \leq n$  to get using (51) and (62)

$$\frac{\gamma_n!}{\gamma_{n-k}!} F_{n-k}(x(s)) = \sum_{j=k}^n r_{n,j} \left[ \prod_{l=0}^{k-1} \eta \left( aq^{\frac{l}{2}}, j-l \right) \right] B_{j-k} \left( aq^{\frac{k}{2}}, s \right), \quad n \geq 1, 0 \leq k \leq n, \quad (91)$$

$$\left[ \prod_{l=0}^{k-1} \eta \left( aq^{\frac{l}{2}}, n-l \right) \right] B_{n-k} \left( aq^{\frac{k}{2}}, s \right) = \sum_{j=k}^n s_{n,j} \frac{\gamma_j!}{\gamma_{j-k}!} F_{j-k}(x(s)), \quad n \geq 1, 0 \leq k \leq n. \quad (92)$$

Then, we write

$$B_n(a, s) = \hat{B}_n(a, u, v, x(s)) = (2auq^s; q)_n (2auq^{-s}; q)_n = \prod_{j=0}^{n-1} (1 - 4aq^j x(s) + 4a^2 uvq^{2j})$$

and deduce that

$$\hat{B}_n(a, u, v, \epsilon_{j,0}) = 0, \quad \forall n \geq 1, \forall j \leq n,$$

where  $\epsilon_{j,k}$  (which is in fact the constant  $\epsilon_{j,0}$  in which  $a$  is replaced by  $aq^{\frac{k}{2}}$ ) is given by (90). We therefore obtain  $r_k$  by using (91) for  $x(s) = \epsilon_{0,k}$  and taking into account the previous relation. The coefficient  $s_{n,k}$  is obtained in a similar way by using (92) for  $x(s) = x_1(z_x)$  and taking into account the fact that

$$F_n(x_1(z_x)) = 0, \quad \forall n \geq 1.$$

255

□

256 From the connection coefficients given above, one can express any polynomial given in one of the basis to another  
 257 one.

**Proposition 25.** *Let  $n$  be a positive integer,  $P_n$  and  $Q_n$  two polynomials of degree  $n$  in the variable  $x(s)$  such that*

$$P_n(x(s)) = \sum_{k=0}^n a_{n,k} F_k(x(s)), \quad Q_n(x(s)) = \sum_{k=0}^n b_{n,k} B_k(a, s). \quad (93)$$

Then  $P_n$  and  $Q_n$  can be expanded in the basis  $(B_k(a, s))_k$  and  $(F_k(x(s)))_k$

$$P_n(x(s)) = \sum_{j=0}^n c_{n,j} B_j(a, s), \quad Q_n(x(s)) = \sum_{j=0}^n d_{n,j} F_j(x(s)), \quad (94)$$

with

$$c_{n,j} = \sum_{k=j}^n a_{n,k} s_{k,j}, \quad d_{n,j} = \sum_{k,j}^n b_{n,k} r_{k,j},$$

258 where  $r_{k,j}$  and  $s_{k,j}$  are defined by (88) and (89).

259 *Proof:* First we use relation (87) in the expression of  $P_n(x(s))$  taken from (93) to get

$$\begin{aligned} P_n(x(s)) &= \sum_{k=0}^n a_{n,k} F_k(x(s)) \\ &= \sum_{k=0}^n a_{n,k} \sum_{j=0}^k r_{k,j} B_j(a, s) \\ &= \sum_{j=0}^n \left( \sum_{k=j}^n a_{n,k} r_{k,j} \right) B_j(a, s). \end{aligned}$$

260 The expansion of polynomial  $Q_n$  is obtained in the same way.

For the special case when  $Q_n(x(s))$  is the Askey-Wilson polynomials given by (15)

$$b_{n,k} = \frac{(q^{-n}, q)_k (abcdq^{n-1}, q)_k q^k}{(ab, q)_k (ac, q)_k (ad, q)_k (q, q)_k}.$$

261 Therefore, we get after some computation using Relation (62) and taking care that  $z_x = -\frac{1}{4}$

$$\begin{aligned} d_{n,j} &= \sum_{k=j}^n b_{n,k} s_{k,j} \\ &= \sum_{k=j}^n \frac{(q^{-n}, q)_k (abcdq^{n-1}, q)_k q^k}{(ab, q)_k (ac, q)_k (ad, q)_k (q, q)_k} \frac{1}{\gamma_j!} B_{k-j} \left( aq^{\frac{j}{2}}, z_x + \frac{1}{2} \right) \prod_{l=0}^{j-1} \eta \left( aq^{\frac{l}{2}}, k-l \right) \\ &= \sum_{k=j}^n \frac{(q^{-n}, q)_k (abcdq^{n-1}, q)_k q^k q^{\frac{j(j-1)}{4}}}{(ab, q)_k (ac, q)_k (ad, q)_k \gamma_j!} \frac{(2a)^j}{(q-1)^j} \left( aq^{\frac{2k+1}{4}}; q \right)_{k-j} \left( aq^{\frac{2k-1}{4}}; q \right)_{k-j}. \end{aligned}$$

262

□

263

## 264 7. Conclusion and Perspectives

265 In this paper, we developed suitable bases (replacing the power basis  $x^n$  ( $n \in \mathbb{N}_{\geq 0}$ )) which enable the direct  
 266 series representation of orthogonal polynomial systems on nonuniform lattices (quadratic lattices of a discrete or  
 267 a  $q$ -discrete variable). We presented two bases of this type, the first of which allows to write solutions of arbitrary  
 268 divided-difference equations in terms of series representations extending results given in [16] for the  $q$ -case and  
 269 in [3] for the quadratic case. Furthermore we used this basis to give a new representation of the Stieltjes function  
 270 which we will use (see [7]) to prove the equivalence between the Pearson equation for the functional approach  
 271 and the Riccati equation for the formal Stieltjes function.

272 When the Askey-Wilson polynomials are written in terms of this basis, we proved that the coefficients are not  
 273  $q$ -hypergeometric. Therefore, we presented a second basis, which shares several relevant properties with the first  
 274 one. This basis enables to generate the defining representation of the Askey-Wilson polynomials directly from  
 275 their divided-difference equation, and also to solve more general divided-difference equations of arbitrary order  
 276 involving the linear combination of  $\mathbb{D}_x^{2j}$  and  $\mathbb{S}_x \mathbb{D}_x^{2j+1}$ , ( $j \geq 0$ ).

277 As perspective, we mention that this paper shall lead to the characterization of orthogonal polynomials (semi-  
 278 classical and Laguerre-Han classes) on quadratic and  $q$ -quadratic lattices by means of the functional approach,  
 279 providing the link between such approach and the one developed by Magnus [13,14] using the Riccati equation for  
 280 the formal Stieltjes series. It might also be used to solve specific divided-difference equations such as the  $q$ -wave  
 281 and the  $q$ -heat equations [18]; and provide new identities in the domain of special functions.

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